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2 **Past Climate Variability and Change in the Arctic and at High**
3 **Latitudes**

4
5 **Chapter 4. Paleoclimate Concepts**

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14 **ABSTRACT**

15

16 Interpretation of paleoclimatic records involves understanding of the Earth's
17 climate system, the causes of climate changes (forcings), and the processes that amplify
18 or damp these changes (feedbacks). Paleoclimatologists reconstruct the history of
19 climate from proxies, which are those characteristics of sedimentary deposits that
20 preserve paleoclimatic information. A great range of physical, chemical, isotopic, and
21 biological characteristics of lake and ocean sediments, ice cores, cave formations, the
22 land surface itself, and more are used to reconstruct past climate. Ages of climate events
23 are obtained by counting annual layers, measuring effects of the decay of radioactive
24 atoms, assessing other changes that accumulate through time at rates that can be assessed
25 accurately, and using time-markers to correlate sediments to others that have had their
26 ages measured more accurately. Not all questions about the history of the Earth's climate
27 can be answered through paleoclimatology because in some cases the necessary
28 sediments are not preserved or the climatic variable of interest is not recorded in the
29 sediments. Nonetheless, a huge range of questions can be answered from the available
30 information.

31 An overview of the history of Arctic climate over the past 65 million years (Ma)
32 shows a long-term irregular cooling over tens of millions of years. As ice became
33 established in the Arctic, it grew and shrank over tens of thousands of years in regular
34 cycles. During the most recent of these cycles, shorter-lived fluctuations occurred,
35 especially around the north Atlantic with large and rapid onsets and terminations of
36 glaciations. The last ~11,000 years have remained generally warm and relatively stable,
37 but with small climate changes of varying spacing and size. Assessment of the causes of

38 climate changes, and the records of those causes, shows that reduction in atmospheric
39 carbon-dioxide concentration and changes in continental positions were important in the
40 cooling trend over tens of millions of years. The cycling in ice extent was paced by
41 features of Earth's orbit, and amplified by the effects of the ice, changes in carbon
42 dioxide and other greenhouse gases, and additional feedbacks. Abrupt climate changes
43 were linked to changes in the circulation of the ocean and the extent of sea ice. Changes
44 in the sun, volcanic eruptions, and other factors have been influential over the recent
45 warm interval.
46

46 **4.1 Introduction**

47 Most people notice the weather. Day to day, week to week and even year to year,
48 changes in such parameters as minimum and maximum daily temperatures, precipitation
49 amounts, wind speeds, and flood levels are all details about the weather that nearly
50 everyone shares in daily conversations. When all else fails, most people can talk about
51 the weather.

52 Evaluating longer-term trends in the weather (tens to hundreds of years or even
53 longer) is the realm of climate science. *Climate* is the average weather, usually defined
54 as the average of the past 30 years. *Climate change* is the long-term change of the
55 average weather, and it is *climate change* that is the focus of this assessment. While most
56 people accept that the weather is always changing on the time scales of their recent
57 memory, geologists reconstruct climate on even longer timescales and use these
58 reconstructions to help understand *why* climate changes. This improved understanding of
59 Earth's climate system informs our ability to predict future climate change.
60 Reconstructions of past climate also allow us to define the range of natural climate
61 variability throughout the Earth's history. This information provides insight into an
62 assessment of whether observed contemporary climate change is potentially part of a
63 natural cycle or whether human activity may play a role in the observed change. The
64 relevance of climate science lies in the recognition that even small shifts in the climate
65 state can and have had significant economic and societal impacts (Lamb, 1997; Ladurie,
66 1971).

67 Indications of past climate, called climate proxies, are preserved in geological
68 records and tell us that Earth's climate has rarely been static. For example, over the past

69 70 million years (henceforth indicated as ‘Ma’) of Earth history, large changes have
70 occurred in average global temperature and in temperature differences between tropical
71 and polar regions, as well as ice-age cycles during which more than 100 m of sea level
72 was stored on land in the form of giant continental ice sheets and then released back to
73 the ocean by melting of that ice. Climate change includes long-term trends lasting tens of
74 millions of years, and abrupt shifts occurring in as little as a decade or less, both of which
75 have resulted in large-scale reorganizations of oceanic and atmospheric circulation
76 patterns. As we discuss in the following sections, these climate changes are understood
77 to be caused by combinations of the plate-tectonic forces that cause continental drift and
78 mountain-building, variations in Earth’s orbit about the Sun, and changes in atmospheric
79 greenhouse gases, solar irradiance, and volcanism, all of which can be amplified by
80 powerful positive feedback mechanisms, especially in the Arctic. Documenting past
81 climates (paleoclimatology) and developing scientific explanations of the observed
82 changes inform efforts to understand the climate, reveal features of importance that must
83 be included in predictive models, and allow testing of the models developed.

84

85 **4.2 Forcings, Feedback, and Variability**

86 An observed change in climate may depend on one or more processes. It is often
87 useful to divide these processes into three categories: internal variability; forcings; and
88 feedbacks. (For additional information, see IPCC, 2007; Peixoto and Oort, 1992; or
89 Hansen et al., 1984, among other excellent sources.)

90 Internal variability is familiar to weather watchers; if you don’t like what is
91 happening now, wait for tomorrow and something different often arrives. Different

92 weather arrives even though the sun, the Earth's orbit, the composition of the atmosphere,
93 and many other important controls are the same as yesterday, because complex systems
94 exhibit fluctuations within themselves. This variability tends to average out over longer
95 time periods, so climate is less variable than weather; however, even for the thirty-year
96 averages typically used in defining the climate, internal variability exists. For example,
97 without any external cause, some thirty-year period may have one more El Niño event in
98 the Pacific Ocean, and thus slightly warmer average conditions, than in the previous
99 thirty-year period.

100 Forced changes are those with a cause from outside of the climate system. If the
101 output of energy from the sun increases, the Earth will warm in response. If fewer
102 volcanoes than average erupt during some century, then less sunlight than normal will be
103 blocked by particles from those volcanoes, and the Earth's surface will be warmer in
104 response. If the carbon-dioxide level of the atmosphere is raised by fossil-fuel burning,
105 then more of the planet's outgoing radiation will be blocked by that carbon dioxide,
106 warming the Earth's surface. Depending on often random processes, different forcings
107 may combine to cause large climate swings, or offset to cause climate changes to be
108 small.

109 When a climate change occurs, whether in response to some forcing or to internal
110 variability, other parts of the climate system respond to the initial climate change, and
111 these responses may affect the climate further; if so, then these responses are called
112 feedbacks. How much the temperature changes in response to a forcing of a given
113 magnitude (or net magnitude of a set of forcings in combination) depends on the sum of

114 all of the feedbacks. Feedbacks can be characterized as positive, serving to amplify the
115 initial change, or negative, acting to partially offset the initial change.

116 As an example, some of the sunshine reaching the Earth is reflected back to space
117 by snow without warming the planet. If warming (whether caused by an El Niño,
118 increased output from the sun, increased carbon dioxide concentration in the atmosphere,
119 or anything else) melts snow and ice that otherwise would have reflected sunshine, then
120 more of the sun's energy will be absorbed, causing additional warming. This additional
121 warming is a feedback (usually called the ice-albedo feedback). This ice-albedo
122 feedback is termed a positive feedback, because it amplifies the initial change.

123

124 **4.2.1 The Earth's Heat Budget – a balancing act**

125 Over century to millennial timescales, the energy received by the Earth from the
126 sun and the energy returned to space balance almost exactly; imbalance between
127 incoming and outgoing energy is typically less than 1% over periods as short as years to
128 decades. (**Figure 4.1**) This state of near-balance is maintained by the very strong
129 negative feedback linked to thermal radiation. All bodies “glow”, sending out radiation,
130 and warmer bodies glow more brightly and send out more radiation. (Watching the glow
131 of a burner on an electric stove become visible as it warms shows this effect very
132 clearly.) Some of the sun's energy reaching the Earth is reflected without causing
133 warming, and the rest is absorbed to warm the planet. The warmer the planet, the more
134 energy it radiates back to space. A too-cold planet will receive more energy than is
135 radiated, causing the planet to warm, thus increasing radiation from the Earth until the
136 incoming and outgoing energy balance. Similarly, a too-warm planet will radiate more

137 energy than is received from the sun, producing cooling to achieve balance. Greenhouse
138 gases in the atmosphere block some of the outgoing radiation, transferring some of the
139 energy from the blocked radiation to other air molecules to warm them, or radiating the
140 energy up or down. The net effect is to cause the lower part of the atmosphere (the
141 troposphere) and the surface of the planet to be warmer than they would have been in the
142 absence of those greenhouse gases. The global average temperature can be altered by
143 changes in the energy from the sun reaching the top of our atmosphere, in the reflectivity
144 of the planet (the planet's albedo), or in strength of the greenhouse effect.

145 Equatorial regions receive more energy from space than they return, polar regions
146 return more energy to space than they receive, and the atmosphere and ocean transfer
147 sufficient energy from the equatorial to the polar regions to maintain balance. (for
148 additional information see Peixoto and Oort, 1992, and Nakamura and Oort, 1988).

149 Important forcings described later in this section include changes in the sun,
150 cyclical features of Earth's orbit (Milankovitch forcing), changes in greenhouse gas
151 concentrations in the Earth's atmosphere, the shifting shape, size, and positions of the
152 continents (plate tectonics), biological processes, volcanic eruptions, and other features of
153 the climate system. Other possible forcings, such as changes in cosmic rays or in
154 blocking of sunlight by space dust, cannot be ruled out entirely but do not appear to be as
155 important.

156

157 **4.2.2 Solar Irradiance Forcing**

158 **4.2.2a Effects of the Aging of the Sun**

159 Energy emitted by the Sun is the primary driver of Earth's climate system. The
160 Sun's energy, or irradiance, is not constant, and changes in solar irradiance force changes
161 in Earth's climate. Our understanding of the physics of the sun indicates that over the
162 4.6-billion-year history of the Earth, the sun's energy output should have increased
163 smoothly from about 70% of modern (see, for example, Walter and Barry, 1991). (Direct
164 paleoclimatic evidence of this increase in solar output is not available.) Over the last 100
165 Ma, changes in solar irradiance are calculated to have been less than 1%, or less than
166 0.000001% per century. Therefore, the effects of the sun's aging have no bearing on
167 climate change over the times of millennia or less that are normally of greatest interest to
168 humans. For reference, the 0.000001% per century change in output from aging of the
169 sun can be compared to other things, including: i) maximum changes of slightly under
170 0.1% occurring over 5-6 years as part of the sunspot cycle (Foukal et al., 2006); ii) the
171 estimated increase from the year 1750 to 2005 in solar output averaged across sunspot
172 cycles of 0.035% (Forster et al., 2007); and iii) the warming effect of human carbon-
173 dioxide additions to the atmosphere from 1750 to 2005, which is estimated to have had
174 the same warming effect globally as an increase in solar output of 0.5% (Forster et al.,
175 2007) and thus is more significant than solar irradiance changes over this time.

176

177 **4.2.2b Effects of Short-term Solar Variability**

178 Earth-based observations, and in recent years more-accurate space-based
179 observations, document an 11-year solar cycle that results from changes occurring within
180 the sun (Foukal et al., 2006; Beer et al., 2006), that generate a small climate response
181 (Hegerl et al., 2007). As discussed by Hegerl et al. (2007), the lack of any trend in solar

182 output over the time of satellite observations excludes the sun as an important contributor
183 to the strong warming over that same interval, but the solar variability may have
184 contributed weakly to temperature changes early in the 20th century.

185 Over longer time frames, indirect proxies of solar activity (historical sun-spot
186 records, tree-rings and ice-cores) also exhibit 11-year solar cycles, as well as longer-term
187 changes that exceed the amplitudes of their 11-year cycles. Common longer cycles
188 include 22, 80 and 210 years. Suggestions of linkages between periods of low solar
189 activity and climate variations can be found in the historic climate record. For example,
190 the solar minima known as the "Dalton", "Maunder Minima" (1790 to 1820 AD, and
191 1645 to 1715 AD, respectively) correspond to cold summers of the Little Ice Age.
192 However, the magnitude of radiative forcing that can be attributed to variations in solar
193 irradiance remains debated (see, for example Amman et al., 2007; Fleitmann, et al., 2003;
194 Muscheler et al., 2007, Frolich and Lean, 2004; Bard et al., 2000, Baliunas and Jastrow,
195 1990) with the estimated minimum level of at least 0.2 Watts per m², and some estimates
196 as high as 0.6 Watts per m², still well below the estimated radiative forcing of increased
197 greenhouse gases of the past century (~1.7 Watts per m²) (IPCC, 2007).

198

199 **4.2.3 Orbital Forcing and Milankovitch Cycles**

200 Irregularities in Earth's orbital parameters, often referred to as 'Milankovitch
201 variations' or 'Milankovitch cycles', after the Serbian mathematician who suggested that
202 these irregularities might pace ice-age cycles, result in systematic changes in the seasonal
203 and geographic distribution of incoming solar radiation (insolation) across the planet
204 ((Milankovitch, 1920, 1941). They have almost no effect on sunshine reaching the planet

205 over times of years or decades, have only a small effect on total sunshine reaching the
206 planet over tens of thousands of years and longer, but have large effects on north-south
207 and summer-winter distribution of sunshine. These ‘Milankovitch variations’ (**Figure**
208 **4.2**) are due to changes in: i) the eccentricity (out-of-roundness) of the Earth’s orbit
209 around the sun, which varies from nearly circular to more elliptical and back over ~100
210 ka (‘E’ in Figure 4.2); ii) the obliquity (how far the North Pole is tilted away from
211 sticking “straight up” out of the plane containing the Earth’s orbit about the sun), which
212 tilts more and then less over ~41 ka (‘T’ in Figure 4.2) , and iii) the precession (the
213 wobble, which moves the Earth from close approach to the sun in northern
214 summer/southern winter to being far in northern summer/southern winter and back over
215 about 19 ka to 23 ka, ‘P’ in Figure 4.2) (e.g., Loutre et al., 2004). These orbital features
216 are linked to the influence of the gravity of Jupiter and the moon, among others, acting on
217 the Earth itself and on the bulge at the equator caused by the Earth’s rotation, and are
218 relatively stable orbital features that can be calculated over millions of years with high
219 accuracy. Paleoclimatic records show the influence of these changes very clearly (e.g.,
220 Imbrie et al., 1993).

221 The eccentricity (orbital ‘out of roundness’, or ‘E’) variations affect the total
222 sunshine received by the planet in a year, but by less than 0.5% between extremes (hence
223 giving very small changes of less than 0.001% per century). The other orbital variations
224 have essentially no effect on the total solar energy received by the entire planet.
225 However, large variations do occur in energy received at a particular latitude and season
226 (with offsetting changes at other latitudes and in other seasons); changes of more than
227 20% over 10,000 years have occurred (which is still only 0.2% per century, again with

228 offsetting changes in other latitudes and seasons so that the total energy received is
229 virtually constant).

230 In the Arctic, the most important orbital controls are the tilt of Earth's axis ('T'),
231 where high tilt angles result in significantly more high-latitude insolation than for low tilt
232 angles, and the precession or wobble of the Earth's rotational axis ('P'). When Earth is
233 closest to the Sun at the summer solstice, insolation is significantly greater than when
234 Earth is at its greatest distance from the Sun at the summer solstice. For example, 11 ka
235 ago, Earth was closest to the Sun at the Northern Hemisphere summer solstice, but the
236 summer solstice has been steadily moving toward the greatest distance from the Sun
237 since then, such that at present, Northern Hemisphere summer occurs when Earth is
238 almost the greatest distance from the Sun, resulting in 9% less insolation in Arctic
239 summers today than 11 ka ago (**Figure 4.3**). Based on this orbital consideration alone,
240 Arctic summers should have been cooling over this interval in response to the precession
241 of the equinoxes.

242

243 **4.2.4 Greenhouse Gases in the Atmosphere**

244 Roughly 70% of the incoming solar radiation is absorbed by the planet, warming
245 the land water and air. The Earth, in turn, radiates energy to balance what it receives, but
246 at a longer wavelength than the incoming solar radiation. Greenhouse gases are those
247 gases present in the atmosphere that allow incoming shortwave radiation to pass largely
248 unaffected, but that absorb some of the Earth's outgoing long-wave radiation band
249 (**Figure 4.1**). Greenhouse gases play a key role in keeping the planetary temperature
250 within the range conducive to life. In the absence of any greenhouse gases in Earth's

251 atmosphere the planetary temperature would be about -18°C ($\sim 0^{\circ}\text{F}$); with them, it
252 averages $\sim 33^{\circ}\text{C}$ warmer ($\sim 57^{\circ}\text{F}$) (Hansen et al, 1984; Le Treut et al., 2007). The primary
253 pre-industrial greenhouse gases include, in order of importance, water vapor, carbon
254 dioxide, methane, nitrous oxide and tropospheric ozone, the concentrations of which are
255 directly impacted by anthropogenic activities, with the exception of water vapor as
256 discussed below. Purely anthropogenic recent additions to greenhouse gases include a
257 suite of halocarbons and fluorinated sulfur compounds (Ehhalt et al., 2001).

258 Typically, carbon dioxide is not as significant as water vapor as a greenhouse gas
259 near the Earth's surface. However, while it is relatively easy to change the carbon-
260 dioxide concentration of the atmosphere, the atmospheric concentration of water vapor is
261 difficult to change notably except by changing the temperature. Natural fluxes of water
262 vapor into and out of the atmosphere are very large, equivalent to a layer of water across
263 the entire surface of the Earth of ~ 2 cm/week (see, e.g., Peixoto and Oort, 1992); human
264 perturbations to this are relatively very small (Forster et al, 2007). However, the large
265 ocean surface and moisture from plants provide an important water source that can yield
266 more water vapor to warmer air; relative humidity tends to remain nearly constant as
267 climate changes, so warming for any reason introduces more water vapor to the air and
268 increases the greenhouse effect in a positive feedback (Pierrehumbert et al., 2007; Hansen
269 et al., 1984). Hence, focus is especially on carbon dioxide, and to a lesser degree on
270 methane and other greenhouse gases (Forster et al., 2007).

271 Carbon dioxide concentrations in the atmosphere are tied into an extensive natural
272 system of terrestrial, atmospheric and oceanic sources and sinks called the global carbon
273 cycle, an excellent discussion of which is given by Prentice et al. 2001 in the IPCC 3rd

274 Assessment Report. The possible impact of increasing CO₂ levels in the atmosphere
275 was first recognized by Arrhenius (1896). By the 1930's, mathematical models linking
276 greenhouse gases and climate change (Callendar, 1938) predicted that a doubling of
277 atmospheric CO₂ concentration would increase the mean global temperature by 2°C, with
278 considerably more warming at the poles. (Le Treut et al., 2007 provides a detailed
279 historical perspective on the recognition of Earth's greenhouse effect.) By the 1970s,
280 CH₄, N₂O and CFCs were widely recognized as important additional anthropogenic
281 greenhouse gases (Ramanathan, 1975).

282 The direct relationship between climate change and greenhouse gases such as CO₂
283 and methane is clearly described by the recent IPCC report (IPCC, 2007). Both the
284 pattern of observed warming in the direct observational record, especially the record of
285 the past 30 years, as well as climate model simulations, suggest that the Arctic will be
286 more impacted by increases in greenhouse gas concentrations than any other region on
287 Earth (**Figure 4.4**).

288

289 **4.2.5 Plate Tectonics**

290 The drifting of continents (plate tectonics) moves land masses from equator to
291 pole or back, opens and closes oceanic "gateways" between land masses to redirect ocean
292 currents, raises mountain ranges to redirect winds, and causes other changes that may
293 affect climate. These changes can have very large local to regional effects (moving a
294 continent from the pole to the equator obviously will greatly change the climate of that
295 continent). Moving continents around may have some effect on global-average
296 temperature, in part through changes in the planet's albedo (Donnadieu et al., 2006).

297 Processes linked to continental rearrangement can strongly affect global climate
298 by altering the composition of the atmosphere and thus the strength of the greenhouse
299 effect, especially through control of the carbon-dioxide concentration of the atmosphere
300 (e.g., Berner, 1991; Royer et al., 2007). Over millions of years, the atmospheric
301 concentration of carbon dioxide is controlled primarily by the balance between carbon-
302 dioxide removal through chemical reactions with rocks near the Earth's surface, and
303 carbon-dioxide release from volcanoes or other pathways involving melting or heating of
304 rocks that sequester carbon dioxide. Because higher temperatures cause carbon dioxide
305 to react more rapidly with Earth-surface rocks, atmospheric warming tends to speed
306 removal of carbon dioxide from the air and thus to limit further warming, in a negative
307 feedback (Walker et al., 1981).

308

309 **4.2.6 Biological Processes**

310 Biological processes can be important in the uptake and release of carbon dioxide,
311 such that evolutionary changes have contributed to atmospheric changes. For example,
312 some of the carbon dioxide taken from the air by plants is released by their roots into the
313 soil, through respiration while living and by decaying after death, thus speeding the
314 reaction of atmospheric carbon dioxide with rocks compared to the no-plant situation
315 (Berner, 1991; Beerling and Berner, 2005). This process could not have occurred on the
316 early Earth before the evolution of plants with roots.

317 Plants are composed in part of carbon dioxide removed from the atmosphere, and
318 decomposition or burning of plants releases most of this carbon dioxide back to the
319 atmosphere (minus the small fraction that reacts with rocks in the soil). When plants are

320 buried without decomposition to form fossil fuels, the atmospheric carbon-dioxide level
321 is reduced; later, natural processes may bring the fossil fuels back to the surface to
322 decompose, releasing the stored carbon dioxide. (Humans are greatly accelerating these
323 natural processes; the economically viable fossil fuels accumulated over hundreds of
324 millions of years but are being burned over hundreds of years.) Rapid burial favors
325 preservation of organic matter, whereas leaving dead things on the surface allows them to
326 decompose, so changes in rates of sediment deposition linked to continental
327 rearrangement are among the processes that may affect the formation and breakdown of
328 fossil fuels and thus the strength of the atmospheric greenhouse effect.

329 Continents move about as rapidly as fingernails grow, so that a major reshuffling
330 of the continents requires ~100 million years, and the opening or closing of an oceanic
331 gateway may require millions of years (e.g., Livermore et al., 2007). Major evolutionary
332 changes have required millions of years or longer (e.g., d'Hondt, 2005). Thus, those
333 changes in the greenhouse effect affecting Earth's climate and linked to continental drift
334 or biological evolution, which have been highly influential over times of tens of millions
335 of years, have had essentially no effect over times of centuries or millennia. (Note that
336 over hundreds of thousands of years or longer, an increase in volcanic activity may
337 notably increase carbon dioxide in the atmosphere, causing warming; however, volcanic
338 release of carbon dioxide is small enough that over millennia or less the changes in
339 volcanism have not notably affected the carbon-dioxide concentration of the atmosphere,
340 and the main short-term effect of an increase in volcanic eruptions is to cool the planet by
341 blocking the sun, as discussed next.)

342

343 **4.2.7 Volcanic eruptions**

344 Volcanic eruptions are an important natural cause of climate change on seasonal
345 to multi-decadal time scales. Large explosive volcanic eruptions inject both particles and
346 gases into the atmosphere. Although particles are removed by gravity relatively rapidly
347 (days to weeks), sulfur gases generated by the eruption injected into the stratosphere
348 convert rapidly to sulfate aerosols (tiny droplets of sulfuric acid) with a residence time of
349 about 3 years, and are transported around the world and poleward by circulation within
350 the stratosphere. Tropical eruptions typically influence both hemispheres, whereas
351 effects of eruptions at middle to high latitudes are usually restricted primarily to the
352 hemisphere of eruption. Consequently, the Arctic is impacted primarily by tropical and
353 Northern Hemisphere eruptions.

354 The radiative and chemical effects of the global volcanic aerosol cloud produce
355 significant responses in the climate system on short timescales (see Figure 6.5) (Briffa et
356 al, 1998; deSilva and Zielinski, 1998, Oppenheimer, 2003). By scattering and reflecting
357 some solar radiation back to space, the aerosols cool the planetary surface, but by
358 absorbing both solar and terrestrial radiation, the aerosol layer also heats the stratosphere.
359 For a tropical eruption, this heating is larger in the tropics than in the high latitudes,
360 producing an enhanced pole-to-equator stratospheric temperature gradient, especially in
361 winter. In the Northern Hemisphere winter, this steeper gradient produces a stronger jet
362 stream and a characteristic stationary tropospheric wave pattern that brings warm tropical
363 air to Northern Hemisphere continents, resulting in winter warming. Because little solar
364 energy reaches the Arctic during winter months, the transfer of warm air from tropical
365 sources to high latitudes has more impact on winter temperatures than does the radiative

366 cooling effect from the aerosols. However, during the summer months, radiative cooling
367 dominates, resulting in anomalously cold summers across most of the Arctic. The 1991
368 Mt. Pinatubo eruption in the Philippines resulted in volcanic aerosols covering the entire
369 planet, producing global-average cooling, but winter warming over the Northern
370 Hemisphere continents in the subsequent two winters (Stenchikov et al., 2004, 2006).

371 The impacts of three large historical Northern Hemisphere eruptions have been
372 studied in detail. The 939 AD Eldgjá (Iceland), 1783-1784 AD Laki (Iceland), and 1912
373 AD Novarupta (Katmai, Alaska) eruptions all caused cooling of the Arctic during
374 summer, but no winter warming (Oman et al., 2005, 2006; Thordarson et al., 2001).

375 When widespread stratospheric volcanic aerosols fall, some of the sulfate reaches
376 the Antarctic and Greenland ice sheets (**Figure 4.5**), allowing estimation of the sun-
377 blocking effect of the eruption from ice-core records. Large volcanic eruptions,
378 especially when occurring within a few years or decades of other large eruptions, are
379 thought to have played a significant role in cooling during the Little Ice Age (~1280-1850
380 AD; Anderson et al, 2008). A comprehensive review of the effects of volcanic eruptions
381 on climate and of records of past volcanism is provided by Robock (2000, 2007).

382 The effects of volcanic eruptions are clearly evident in ice-core records (e.g.,
383 Zielinski et al., 1994), with major eruptions giving cooling of ~1°C for ~1-2 years in
384 Greenland ice-core records (e.g., Stuiver et al., 1995, **Figure 4.6**). The growth and
385 shrinkage of the great ice-age ice sheets, and the associated bending and
386 loading/unloading of the Earth, may have affected the frequency of volcanic eruptions
387 somewhat (e.g., Maclennan et al. 2002), but in general the recent timing of explosive
388 volcanic eruptions appears to be random. There is no mechanism for a volcano in, say,

389 Alaska to synchronize its eruptions with a volcano in Indonesia; hence, volcanic
390 eruptions over recent millennia appear to have introduced unavoidable climatic “noise”
391 as opposed to controlling the climate in an organized way.

392

393 **4.2.8 Others**

394 Paleoclimatic records discount some speculative mechanisms of climate change.
395 For example, about 40,000 years ago natural fluctuations in the strength of the Earth’s
396 magnetic field reduced it essentially to zero for about one millennium. The cosmic-ray
397 flux into the Earth system increased greatly, as recorded by a large peak in beryllium-10
398 in sedimentary records. However, the climate did not track the beryllium-10, indicating
399 that the cosmic-ray increase had little or no effect on the climate (Muscheler et al., 2005).
400 Large changes in concentration of extraterrestrial dust between the Earth and sun might
401 lead to changes in solar energy reaching the Earth and thus to changes in climate;
402 however, the available evidence to date shows no significant changes in such
403 extraterrestrial dust, based on the rate of infall recorded in sedimentary archives
404 (Winckler and Fischer, 2006).

405 The climate is a complex, integrated system, with important linked feedbacks,
406 internal variability, and numerous forcings. On timescales of centuries or less, however,
407 many of the drivers of past climate change—including drifting continents, biological
408 evolution, aging of the sun, and features of Earth’s orbit—have no discernible influence
409 on the climate. Small variations in climate appear to be linked to the small variations in
410 the sun’s output, and explosive volcanic eruptions produce occasional cooling.
411 Greenhouse-gas changes affect the planet’s temperature directly.

412

413 **4.3 Reading the History of Climate through Proxies**

414 A modern historian trying to understand our human story cannot go back in time
415 and replay an important event. Instead, the historian must rely on indirect evidence:
416 eyewitness accounts (which may or may not be highly accurate), artifacts, and more. It is
417 as if the historical figures, who cannot tell their tale directly, have given their proxies to
418 other people and other things to deliver the story to the modern historian.

419 Historians of climate—paleoclimatologists—are just like other historians, reading
420 the indirect evidence that the past sends by proxy. All trained historians are aware of the
421 strengths and weaknesses of proxy evidence, of the value of weaving multiple strands of
422 evidence together to form the complete fabric of the story, of the necessity of knowing
423 when things happened as well as what happened, and of the ultimate value of using
424 history to inform understanding and guide choices.

425 Some of the proxy evidence used by paleoclimatologists would be familiar to
426 more-traditional historians. Written accounts of many different activities often include
427 notes on the weather, on presence or absence of ice on local water bodies, and on times of
428 planting or harvest and the crops that grew or failed. If care is taken to average across
429 extreme events, to account for the tendency of people to report the rare rather than the
430 commonplace, and to include the effects of changes in husbandry and other issues,
431 written records can contribute to knowledge of climate back through written history.
432 However, almost all of the Earth's climatic history happened at times and in places for
433 which human accounts are lacking. The paleoclimatologist is forced to rely on evidence

434 that is less familiar to most people than are written records. Remarkably, these natural
435 proxies may reveal as much as, or even more than, the written records.

436

437 **4.3.1 Climate's Proxies**

438 Much of the history of a civilization can be reconstructed from the detritus its
439 people left behind. Similarly, paleoclimate records are typically developed through
440 analysis of sediment, broadly defined. "Sediment" may include the ice formed as years
441 of snowfall pile up into an ice sheet, the mud accumulating at the bottom of the sea or a
442 lake, the annual layers of a tree, the thin sheets of mineral laid one on top of another to
443 form a stalagmite in a cave, the piles of rock bulldozed by a glacier, the piles of desert
444 sand dropped into dunes by the wind, the odd things collected and stored by packrats, and
445 more (e.g., Crowley and North, 1991; Bradley, 1999; Cronin, 1999). For a sediment to
446 be useful, it must: i) preserve a record of the conditions when it formed (i.e., subsequent
447 events cannot have erased the original story and replaced it with something else); ii) be
448 interpretable in terms of climate (the characteristics of the deposit must uniquely relate to
449 the climate at the time of formation); and iii) be "datable" (i.e., there must be some way
450 to determine the time when the sediment was deposited).

451 As an example, long records of Earth's climate are commonly reconstructed from
452 climate proxies preserved in deep-ocean sediments. One of the best-known proxy
453 records of climate change is that recorded by benthic (bottom-dwelling) foraminifera,
454 microscopic organisms that live on the sea floor and secrete their calcium-carbonate
455 shells in equilibrium with the sea water. The isotopes of oxygen in the carbonate are a
456 function of both the water temperature (which often does not change very rapidly over

457 time or very steeply over space in the deep ocean) and changes in global ice volume.
458 Global ice volume determines the relative abundances of the isotopes oxygen-16 to
459 oxygen-18 in seawater over time. Snow has relatively less of the heavy oxygen-18 than
460 is in its seawater source. Consequently, as ice sheets grow on land, the ocean becomes
461 enriched in the heavy oxygen-18, and this enrichment is recorded by the oxygen isotopic
462 composition of foraminifera shells. The proportion of the heavy and light isotopes of
463 oxygen is usually expressed as $\delta^{18}\text{O}$, with positive $\delta^{18}\text{O}$ values reflecting extra amounts
464 of the heavy isotope of oxygen, and negative values reflecting samples with less of the
465 heavy isotope than average seawater. Although the $\delta^{18}\text{O}$ of foraminifera shells does not
466 reveal where the glacial ice buildup was located, the record does provide a globally-
467 integrated value of the amount of glacial ice on land, especially if appropriate corrections
468 are made for temperature changes using other indicators. Positive $\delta^{18}\text{O}$ reflects colder
469 times (more ice), whereas more negative $\delta^{18}\text{O}$ reflects interglacial (warmer- less ice)
470 periods in Earth's history. In the absence of changes in global ice volume, changes in
471 benthic foraminifera $\delta^{18}\text{O}$ reflect changes in ocean temperatures, with more positive $\delta^{18}\text{O}$
472 indicative of colder water, and more negative $\delta^{18}\text{O}$ indicating warmer water.

473 Written documents have sometimes been erased and rewritten, in a deliberate
474 attempt to distort history, or simply because the paper was more valuable than the
475 original words. Paleoclimatologists are continually watching for any signs that a climate
476 record has been “erased” and “rewritten” by events since deposition of the sediment.
477 Occasionally, this proves to be important. For example, water may remove isotopes
478 carrying paleoclimatic information from shells, replacing them with other isotopes telling
479 a different story (e.g., Pearson et al., 2001). However, except for the very oldest deposits

480 from early in Earth's history, it is usually rather easy to tell whether a record has been
481 altered, and this problem should not affect any of the conclusions presented in this report.

482 Finding the linkage between climate and some characteristic of the sediment is
483 then required. The climate is recorded in myriad ways by physical, biological, chemical,
484 and isotopic characteristics of sediments.

485 Physical indicators of past climate are often easy to read and understand. For
486 example, a sand dune can form only if dry sand is available to be blown around by the
487 wind, without being held down by plant roots. Except near beaches (where fluctuations
488 in water level reveal bare sand), a dry climate is needed to keep grass off the sand so it
489 can blow around. Today in northwestern Nebraska, the huge dune field of the Sand Hills
490 is grass-covered (**Figure 4.7**). Thus, drier conditions in the past allowed formation of the
491 dunes, with wetter conditions now allowing grass to grow on top (e.g., Muhs et al., 1997).
492 Similarly, the sediments left by glaciers are readily identified, and occurrence of such
493 sediments in areas that are ice-free today attests to changing climate. A very different
494 physical indicator of past climate is given by temperatures measured in boreholes. Just as
495 a Thanksgiving turkey placed in an oven takes a while to warm in the middle, the two-
496 mile-thick ice sheet of Greenland has not finished warming from the ice age, and the cold
497 temperatures below reveal how cold the ice age was (Cuffey and Clow, 1997).

498 Many paleoclimate records are based directly on living things. Tundra plants are
499 quite different from those living in temperate forests. If the deep layers of a sediment
500 core contain pollen, seeds, twigs, etc. of tundra plants, with temperate-forest plants in
501 shallow layers, a warming from a formerly cold time is indicated. Trees grow more
502 rapidly, adding thicker rings, when climatic conditions are more favorable. In very dry

503 regions, this allows trees to be used in reconstruction of rainfall; in cold regions, growth
504 may be more closely linked to temperature.

505 Chemical analysis of sediments may reveal additional information about past
506 climates. As one example, some single-celled organisms in the ocean change the
507 chemistry of their cell walls in response to changing temperature, using more-flexible
508 molecules to offset the increase in brittleness caused by colder temperatures. These are
509 sturdy chemicals that persist in sediments after death, so the history of the ratio of stiffer
510 to less-stiff molecules in a sediment core provides a history of the temperature at which
511 the organisms grew (in this case, the organisms are prymnesiophyte algae, the chemicals
512 are alkenones, and the frequency of carbon double bonds controls the stiffness; Muller et
513 al., 1998; other such indicators also exist).

514 Isotopic ratios are among the most-commonly used of proxy indicators of past
515 climates. Consider just one example, providing one of the ways to determine the past
516 concentration of carbon dioxide. All carbon atoms have 6 protons in their nuclei, most
517 have 6 neutrons (making carbon-12), but some have 7 neutrons (carbon-13) and a few
518 have 8 neutrons (radioactive carbon-14). The only real difference between carbon-12 and
519 carbon-13 is that carbon-13 is a bit heavier. The lighter carbon-12 is “easier” for plants
520 to use, so growing plants preferentially incorporate carbon from carbon dioxide
521 containing only carbon-12 rather than carbon-13. However, if carbon dioxide is scarce in
522 the environment, the plants cannot be picky and must use what is available. Hence, the
523 carbon-12:carbon-13 ratio in plants provides an indicator of the availability of carbon
524 dioxide in the environment. The sturdy cell-wall chemicals described in the previous

525 paragraph can be recovered and analyzed for their carbon isotopes, providing an estimate
526 of the carbon-dioxide concentration at the time the algae grew (e.g., Pagani et al., 1999).

527 Much of the science of paleoclimatology is devoted to calibration and
528 interpretation of the relation between sediment characteristics and climate (see National
529 Research Council, 2006). The relationship of some indicators to climate is relatively
530 straightforward, but others may be complex. The width of a tree ring, for example, is
531 especially sensitive to water availability in dry regions, but may also be influenced by
532 changes in shade from neighboring trees, the attack of beetles or other pests that weaken
533 a tree, the temperature, and more. Extensive efforts go into calibration of paleoclimatic
534 indicators against the climatic variables. Because paleoclimatic data cannot be collected
535 everywhere, additional work is devoted to determining which areas of the globe have
536 climates that can be reconstructed from the available paleoclimatic data. Wherever
537 possible, multiple indicators are used to reconstruct past climates, and to assess
538 agreement or disagreement (National Research Council, 2006), with major results
539 typically resting on multiple lines of evidence.

540

541 **4.3.2 The Age of the Sediments**

542 History requires “when” as well as “what”. Many techniques reveal the “when”
543 of sediments, sometimes to the nearest year, other times with less precision.

544 Climate records developed from most trees, and from some ice cores and
545 sediment cores, can be dated to the nearest year by counting annual layers. The yearly
546 nature of tree rings from seasonal climates is well-known. A lot of checking goes into
547 demonstrating that layers observed in ice cores and special sediment cores are annual, but

548 in some cases they clearly are (Alley et al., 1997), allowing quite accurate counts. The
549 longest-lived trees may reach 5000 years, but use of overlapping living and dead wood
550 has allowed extension of records to more than 10,000 years (Friedrich et al. 2004), and
551 the longest annually-layered ice cores recovered to date extend beyond 100,000 years
552 (Meese et al., 1997). However, relatively few records can be absolutely dated in this
553 way.

554 Other techniques that have been used for dating include measuring the damage
555 that accumulates from cosmic rays striking things near the Earth's surface, observing the
556 size of lichen colonies growing on rocks deposited by glaciers, and identifying the fallout
557 of particular volcanic eruptions that can be dated by historical accounts or annual-layer
558 counting.

559 Most paleoclimatic dating uses the decay of radioactive elements. Radiocarbon is
560 commonly used for samples containing carbon from the most recent 40,000 years or so
561 (older than that, so little radiocarbon survives that measurement is difficult). Many other
562 isotopes are used for different time intervals and materials. Intercomparison with annual-
563 layer counts, with historical records, and between different techniques shows that quite
564 high accuracy can be obtained, so that it is often possible to have errors in age estimates
565 of less than 1%. (That is, if an age is quoted as being 100,000 years, the event actually
566 occurred sometime between 99,000 years and 101,000 years ago.)

567

568 **4.4 Cenozoic Global History of Climate**

569 As emphasized in the Summary for Policymakers of IPCC (2007) and in the body
570 of the report, a paleoclimatic perspective is important for understanding the climate

571 system including its forcings and feedbacks. Arctic records, and especially Arctic ice-
572 core records, have provided key insights. The material that follows provides a brief
573 overview of selected features in the history of Earth's climate, and of the forcings and
574 feedbacks of those climate events. This is not a comprehensive treatment of the
575 extensive literature on these topics, but is provided here as a primer to help place the
576 main results of this report in context. Kump et al. (2003) is a more-complete yet
577 accessible introduction.

578 This report focuses on the Cenozoic Era, the 65 Ma beginning with the demise of
579 the dinosaurs and continuing through today (see section 4.5 for a discussion of the
580 chronology used in this report). Over most of this 65 Ma interval, deep-sea records of
581 foraminifera $\delta^{18}\text{O}$ (a powerful paleoclimatic indicator, described above in section 4.4.1)
582 integrated from sedimentary archives of several ocean basins show that the Earth has
583 been warmer than at present with lower ice volume (**Figure 4.8**). Yet, following the
584 peak warming of the early Eocene, about 50-55 Ma ago, global temperatures generally
585 declined (Miller et al., 2005). While this record is not specific about Arctic climate
586 change, the record indicates that the global gradient (or difference) in temperature
587 between the polar regions and the tropics was smaller when global climate was warmer,
588 and that this gradient increased as the high latitudes progressively cooled (Barron and
589 Washington, 1982), with effects on atmospheric and oceanic circulation. The overall
590 cooling trend of the past 55 Ma was punctuated by intervals during which the cooling
591 was reversed and the oceans warmed, only to cool rapidly again at a later time. For
592 example, rapid decreases in foraminifera $\delta^{18}\text{O}$ at about 34 Ma ago, and again at about 23
593 Ma are thought to reflect the rapid build up of ice over Antarctica in only a few hundred

594 thousand years (Zachos et al. 2001). The Paleocene-Eocene thermal maximum (~55Ma
595 ago) represents a major interval of global warming with CO₂ levels estimated to have
596 risen abruptly (Shellito et al. 2003), perhaps due to the rapid release of methane from the
597 oceans (Bralower et al., 1995).

598 The style and tempo of global climate change over the past 5.3 million years is
599 depicted well by the foraminifera $\delta^{18}\text{O}$ record of Lisiecki and Raymo (2005; **Figure 4.9**,
600 see section 4.4.1 for a discussion of this proxy). This composite record provides a well-
601 dated stratigraphic tool against which other records from around world can be compared.
602 The foraminifera $\delta^{18}\text{O}$ record reflects both changes in global ice volume and oceanic
603 bottom-water temperature change, but with the same sense—increase in global ice, or
604 decrease in ocean temperatures, push the indicator in the same direction. The
605 foraminifera $\delta^{18}\text{O}$ record indicates low-magnitude climate changes from 5.3 until about
606 2.7 Ma ago, when the amplitude of the foraminifera $\delta^{18}\text{O}$ signal increased markedly.
607 This shift in foraminifera $\delta^{18}\text{O}$ amplitude coincides with widespread indications of onset
608 of northern continental glaciation (see Chapter 5). The oxygen isotopic fluctuations since
609 2.7 Ma ago are commonly used as a global index of the frequency and magnitude of
610 glacial-interglacial cycles. In addition to the fluctuations, the data show that over the past
611 3 Ma, average ocean temperatures have been dropping. Global circulation models
612 constrained by extensive paleoclimatic data targeting the late Pliocene interval from 3.3
613 to 3.0 Ma ago suggest that temperatures globally were warmer by as much as 2-3 °C at
614 that time (see Jiang et al. 2005 and IPCC, 2007).

615

616 The large fluctuations in foraminifera $\delta^{18}\text{O}$ beginning about 2.7 Ma exhibited
617 clear periodicities matching those of the Milankovitch forcing (with those periodicities
618 also present in smaller, older fluctuations). A 41 ka periodicity was especially apparent,
619 as well as the 19-23 ka periodicity. More recently, over the last 0.9 Ma or so, the
620 variations in $\delta^{18}\text{O}$ became even bigger, and while the 41 and 19-23 ka periodicities
621 continued, a 100 ka periodicity became dominant. The reasons for this shift remain
622 unclear, and the focus of much research (Ruddiman, 2006; Lisiecki and Raymo, 2007,
623 Huybers, 2007).

624 Moving toward the present, the number of available records increases greatly, as
625 does typical time resolution of the records (see section 4.4). The large ice-age cycling of
626 the last 0.9 Ma produced growth and retreat of extensive ice sheets across broad regions
627 of North America and Eurasia, as well as smaller extensions of ice in Greenland,
628 Antarctica, and many mountainous areas. Ice in North America covered New York and
629 Chicago, for example. The water that composed those ice sheets had been removed from
630 the oceans, causing non-ice-covered coastlines typically to lie well beyond modern
631 boundaries. Melting of ice sheets exposed land that had been ice-covered while covering
632 land beneath the rising seas, with relatively small net effect (e.g., Kump and Alley, 1994).
633 Strong temperature changes occurred with the ice-age cycling, of many degrees to tens of
634 degrees in some places (see Chapter 5).

635 Large, abrupt jumps in climate (see section 6.4.3) occurred during at least the
636 most recent of the glacial intervals and probably during earlier ones. In records from near
637 the North Atlantic such as Greenland ice cores, roughly half of the total difference
638 between glacial and interglacial conditions was achieved in many climate-change

639 indicators over times of decades to years. Changes away from the North Atlantic were
640 notably smaller, and in the far south the changes appear to have exhibited a see-saw
641 pattern (southern warming with northern cooling). The “shape” of the climate records is
642 interesting, with northern records typically showing abrupt warming, gradual cooling,
643 abrupt cooling, near-stability or slight gradual warming, and then repeating.

644 The most recent interglacial interval has lasted slightly over 10,000 years.
645 Generally warm conditions have prevailed compared to the average over the last 0.9 Ma.
646 However, important changes have been observed. These include broad warming and then
647 cooling over millennia, abrupt events probably linked to the older abrupt changes, and
648 additional events with various spacings and sizes that have a range of causes, which will
649 be described more in chapters 5 and 6.

650

651 **4.5 Chronology**

652 In any discussion of past climate periods, it is necessary to use a timescale with
653 terminology that is understandable to all readers. Beyond the historic period, this means
654 use of time periods that are within the realm of geology. In this report, we use two sets of
655 terminology for prehistoric time periods, one for the longer history of the Earth and one
656 for much more recent Earth history, approximately the past 2.6 Ma (the Quaternary
657 Period). For the longer period of Earth history, we use the terminology and timescale
658 adopted by the International Commission on Stratigraphy (Ogg, 2004). This timescale is
659 well-established and has been widely accepted throughout the geologic community. The
660 Quaternary Period is the youngest geologic period in this timescale and constitutes the
661 past ~2.6 million years (<http://www.stratigraphy.org/gssp.htm>, **Figure 4.10**, Jansen et al.,

662 2007). The Quaternary Period is of particular interest in this paper, because it is this time
663 that is characterized by dramatic changes in climate, from glacial to interglacial periods.

664 There are problems associated with the use of timescales within the Quaternary
665 Period. These problems are common to all geologic dating, but assume additional
666 importance in the Quaternary because the focus during this geologically short, recent
667 period is on relatively short-lived events. Very few geologic records for the Quaternary
668 Period are continuous, well-dated, and applicable to all other records of climate change.
669 Furthermore, many geologic deposits preserve records of events that are *time-*
670 *transgressive* or *diachronous*. This means that a particular geologic event of
671 paleoclimatic significance is recorded earlier at one geographic location or region than
672 another.

673 A good example of time-transgression is the most recent deglaciation of
674 midcontinental North America, namely the retreat of the Laurentide ice sheet. Although
675 this marks a major shift in a climate state, from a glacial period to an interglacial period,
676 by its very nature it is an event that occurred at different times in different places. In
677 midcontinental North America, the Laurentide ice sheet had begun to retreat from its
678 southernmost position in central Illinois after ~22.6 ka ago, but was still present over
679 what is now northern Illinois until after ~15.1 ka ago, and was still in Wisconsin and
680 Michigan until after ~12.9 ka ago (Johnson et al., 1997, [radiocarbon ages converted
681 using the algorithm of Fairbanks et al., 2005]). Thus, the geologic record of when the
682 present “interglacial” period began is older in central Illinois than it is in northern
683 Michigan, which in turn is older than it is in southern Canada. Time-transgression as a
684 concept also applies to phenomena other than geologic processes. Migration of plant

685 communities or biomes as a result of climate change is not an instantaneous process over
686 a wide geographic region. Thus, many records of climate change that reflect changes in
687 plant communities will take place at different times across a region as taxa within that
688 community migrate.

689 Another difficulty with some geologic records is that local terminology differs from
690 continent to continent and creates confusion when a topic is being addressed in a global
691 context. For example, “Sangamon” is the name of the last interglacial period in the mid-
692 continent of North America (Johnson et al., 1997) and the term “Eemian” is used for the
693 last interglacial period in Europe. However, North American workers apply the term
694 Sangamon primarily to rock-stratigraphic records (tills deposited by glaciers, and old
695 soils called paleosols). The Sangamon interglacial is considered to have lasted several
696 tens of thousands of years, because no glacial ice was present in the mid-continent
697 between the last major glacial event (“Illinoian”) and the most recent one
698 (“Wisconsinan”). In contrast, the term Eemian, for European workers, is often applied to
699 pollen records, and is reserved for a period of time, perhaps less than 10,000 years, when
700 climate conditions were as warm or warmer than present.

701 Nevertheless, it is crucial that at least some terminology is used as a common basis
702 for discussion of geologic records of climate change during the Quaternary. In this paper,
703 we have chosen to use the *stages* of the oxygen isotope record from foraminifera in deep-
704 sea cores as our terminology for discussing different intervals of time within the
705 Quaternary Period. The identification of glacial-interglacial changes in the deep-sea-core
706 record, and the naming of stages for them, began with a landmark paper by Emiliani
707 (1955). Foraminifera in the ocean record shifts in climate from glacial-to-interglacial

708 states in the oxygen isotope composition of their carbonate skeletons (see section 4.4.1,
709 above). These shifts are due both to changes in ocean temperature and changes in the
710 isotopic composition of seawater. The latter result from the shifts in oxygen isotopic
711 composition of seawater, in turn a function of ice volume on land. Because the
712 temperature and ice-volume influences on foraminiferal oxygen-isotope compositions are
713 in the same direction, the record of glacial-interglacial changes in deep-sea cores is
714 particularly robust.

715 The oxygen isotope record of glacial-interglacial cycles has been studied and well
716 documented in hundreds of deep-sea cores. The same glacial-interglacial cycles are
717 easily identified in cores from all the world's oceans (Bassinot, 2007). It is, therefore,
718 truly a continuous and global record of climate change within the Quaternary Period.
719 Furthermore, a wide variety of geologic records of climate change have shown the same
720 glacial-interglacial cycles that allow comparison and correlation to the deep-sea record,
721 including glacial records (e.g., Andrews and Dyke, 2007; Booth et al., 2004), ice cores
722 (e.g., NGRIP, 2004; Jouzel et al., 2007), cave carbonates (e.g., Winograd et al., 1992,
723 1997), and eolian sediments (e.g., Sun et al., 1999). Furthermore, deep-sea cores
724 themselves sometimes contain, in addition to foraminifera, other records of climate
725 change, including pollen records of past vegetation (e.g., Heusser et al., 2000) or eolian
726 (wind-deposited) sediments that record glacial and interglacial climates on land (e.g.,
727 Hovan et al., 1991).

728 The timescales that have been developed for the oxygen isotope record are important
729 to understand. The mostly widely used timescales are those that have been developed by
730 use of "stacked" deep-sea core records (i.e., multiple core records, from more than one

731 ocean) that are in turn, “tuned” or “dated” by a combination of identification of dated
732 paleomagnetic events and an assumed forcing of climate change by changes in the
733 parameters related to Earth-sun orbital geometry, precession and obliquity. (Initially,
734 dated paleomagnetic events were used with an assumed constant sedimentation rate to
735 provide a first estimate of the timing of the main variations in the climate. These very
736 closely matched the known periodicities in the Earth-sun orbital geometry, to a degree
737 that provided very high confidence that those known periodicities were affecting the
738 climate. Then, this result was used to fine-tune the dating by adjusting the sedimentation
739 rates to allow closer match between the data and the orbital periodicities.) The practice is
740 often referred to as “astronomical” or “orbital” tuning. The strategy behind “stacking”
741 multiple records is to eliminate possible local effects on a core and present a smoothed,
742 global record. There have been several, highly similar, timescales developed using this
743 approach, the most commonly cited being the SPECMAP studies of Imbrie et al. (1984)
744 and Martinson et al. (1987) (**Figure 4.11**), and the more recent work of Lisiecki and
745 Raymo (2005).

746 It is important to recognize, however, that there are disadvantages to using the
747 astronomically tuned oxygen isotope records. Very few deep-sea cores are dated directly,
748 except in the upper parts, which are within the range of radiocarbon dating, or at widely
749 spaced depths where paleomagnetic events are recorded. In addition, after the initial
750 tests, the astronomical tuning approach *assumes* that the orbital parameters, particularly
751 precession and obliquity, are the primary forcing mechanisms behind climate change on
752 glacial-interglacial timescales in the Quaternary Period. There have been challenges to
753 this assumption, based on directly dated cave calcite records (Winograd et al., 1992,

754 1997) and emergent coral reef terraces (Szabo et al., 1994; Gallup et al., 2002; Muhs et
755 al., 2002), although in general the assumption appears to be more-or-less accurate.

756 Recognizing that there are assumptions inherent in the use of the SPECMAP
757 timescale, we use this timescale and the marine oxygen isotope stage terminology in this
758 paper for four reasons. These include: (1) the wide acceptance and use in the scientific
759 community, (2) the continuous nature of the record, (3) the global aspect of the record,
760 and (4) the ability to subdivide the periods of time under consideration. Regarding the
761 latter, for example, the marine record can accommodate the problem in the use of
762 “Sangamon,” as used in North America vs. “Eemian, ” in Europe for the last interglacial
763 period. The Sangamon interglacial, as used by North Americans, includes all of marine
764 isotope Stage 5 (MIS 5), as well as perhaps parts of MIS 4. However, the Eemian, as
765 used by most European workers, would include only MIS 5e or 5.5, an interval *within* the
766 greater MIS 5.

767

767 FIGURE CAPTIONS

768

769 **Figure 4.1** Earth's energy budget is a balance between incoming and outgoing radiation.
770 Numbers are in watts per square meter of the Earth's surface, and some estimates may be
771 uncertain by as much as 20%. Incoming shortwave radiation from the sun enters the
772 Earth's atmosphere and may be reflected by clouds, or absorbed or reflected as longwave
773 radiation by the Earth. The greenhouse effect involves the absorption and reradiation of
774 energy by atmospheric greenhouse gases and particles, resulting in a downward flux of
775 infrared radiation (longwave) from the atmosphere to the surface (back radiation) causing
776 higher surface temperatures. In this figure, Earth is in energy balance with the total rate
777 of energy lost from Earth (107 W/m² of reflected sunlight plus 235 W/m² of infrared
778 [long-wave] radiation) equal to the 342 W/m² of incident sunlight (Kiehl and Trenberth,
779 1997).

780

781 **Figure 4.2** Schematic of the Earth's orbital variations (Milankovitch cycles) that control
782 the amount of sunlight received (insolation) at a given place on the Earth's surface. 'T'
783 denotes changes in the tilt (or obliquity) of the Earth's axis which has a ~41 ka
784 periodicity, 'E' denotes changes in the eccentricity of the orbit (due to variations in the
785 minor axis of the ellipse) with a ~100 ka periodicity, and 'P' denotes precession, that is,
786 changes in the direction of the axis tilt at a given point of the orbit, which has a ~19 to 23
787 ka periodicity. (Rahmstorf and Schellnhuber ,2006; Jansen et al., 2007)

788

789 **Figure 4.3.** Milankovitch-driven monthly insolation anomalies (deviations from present)
790 from 21 to 0 ka and spanning the last interglacial and end of the previous glaciation 120
791 to 140 ka from 60 deg N. X-axis is time in thousands of years before present and Y axis
792 is calendar months. Contours and numbers reflect anomalies from present in Watts m⁻²
793 (Data from Berger and Loutre, 1992). Midsummer insolation values 11 ka ago exceeded
794 40 Watts per m² whereas current values are less than 10 Watts per m².

795

796 **Figure 4.4** Mean surface temperature anomalies for the Earth relative to the period 1951-
797 1980. Panel A is the global average. Panel B shows the temperature anomalies for the

798 period from 2000 to 2005. High northern latitudes show the largest anomalies for this
799 time period. (Hansen et al., 2006)

800

801 **Figure 4.5** Volcanic evidence derived from 44 ice cores were recently used to simulate
802 the spatial distribution of volcanic sulfate aerosols using the NASA Goddard Institute for
803 Space Studies (GISS) ModelE climate model. Shown here are simulated loadings for the
804 Laki (1783), Katmai (1912), Tambora (1815), and Pinatubo (1991) eruption deposition
805 (kg/km²) in the Arctic region. The colors are defined so blue indicates smaller than average
806 deposition for 66 N-82 N, 50 W- 35 W and yellow, orange, and red indicate larger than
807 average. (from Gao et al., 2007)

808

809 **Figure 4.6** Isotopic record of temperature response in Greenland snow to large volcanic
810 eruptions reconstructed from the GISP2 ice core. (modified from Stuiver et al., 1995).

811

812 **Figure 4.7** About a quarter of Nebraska is covered by the Sand Hills. These are
813 Pleistocene sand dunes derived from glacial outwash eroded from the Rocky Mountains,
814 and now stabilized by vegetation. The hills are characterized by crowded barchan
815 (crescent-shaped) dunes, general absence of drainage, and numerous tiny lakes filling the
816 closed depressions between dunes. Covering an area of 51,400 square kilometers, the
817 Sand Hills are the largest sand dune formation in America. This ASTER simulated
818 natural color image was acquired September 10, 2001, covers an area of about 57.9 x
819 61.6 km, and is centered near 42.1 degrees north latitude, 102.2 degrees west longitude.
820 (Photo credit: NASA/GSFC/METI/ERSDAC/JAROS, and U.S./Japan ASTER Science
821 Team)

822

823 **Figure. 4.8.** Global compilation of over 40 deep sea benthic $\delta^{18}\text{O}$ isotopic records taken
824 from Zachos et al. 2001, updated with high resolution Eocene through Miocene records
825 from Billups et al 2002; Bohaty and Zachos, 2003 and Lear et al., 2004. Dashed blue
826 bars represent times when glaciers came and went or were smaller than now; the solid

827 blue bars are ice sheets of modern size or larger. (Figure and text from IPCC Chapter 6
828 on Paleoclimate, Jansen et al., 2007)

829

830 **Figure 4.9.** Composite stack of 57 different benthic oxygen isotope records from (a
831 proxy for temperature) from a globally distributed network of marine sediment cores.
832 (data from Lisiecki and Raymo, 2005 and associated website). This foraminifera $\delta^{18}\text{O}$
833 record indicates low-magnitude climate changes from 5.3 until about 2.7 Ma ago, when
834 the amplitude of the foraminifera $\delta^{18}\text{O}$ signal increases markedly.

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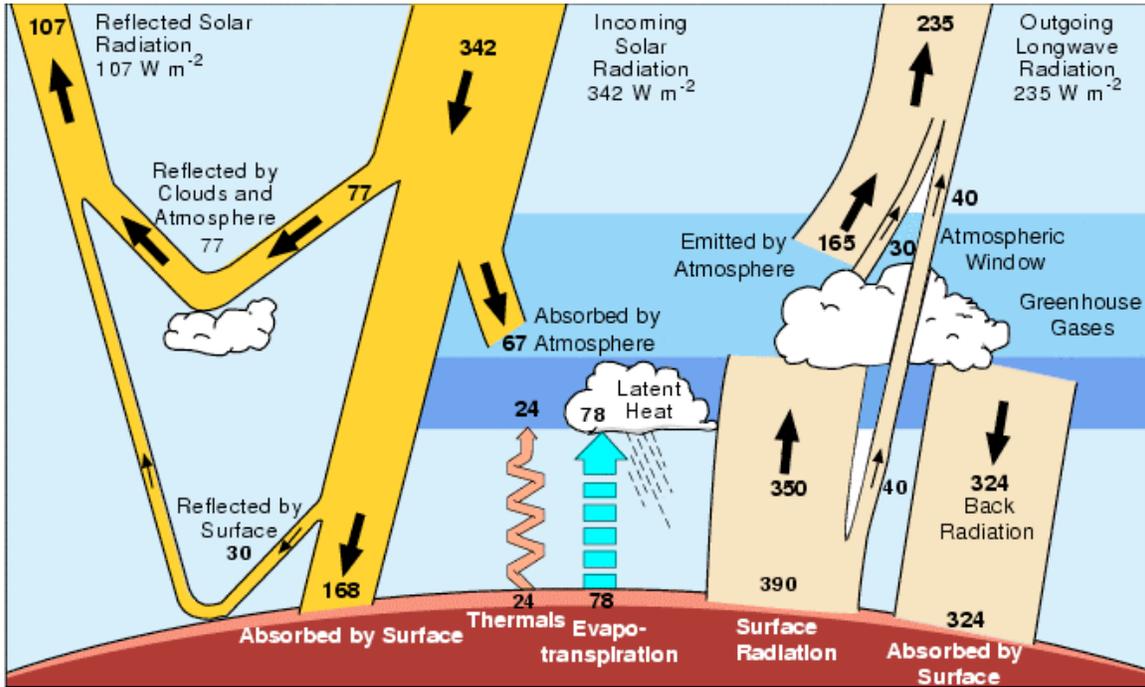
836 **Figure 4.10.** Chronology used for geological time periods in this report. (modified from
837 Ogg and 2004)

838

839 **Figure 4.11.** Marine Isotope Stage (MIS) nomenclature and chronology used in this
840 report (after Imbrie et al. (1984) and Martinson et al. (1987)).

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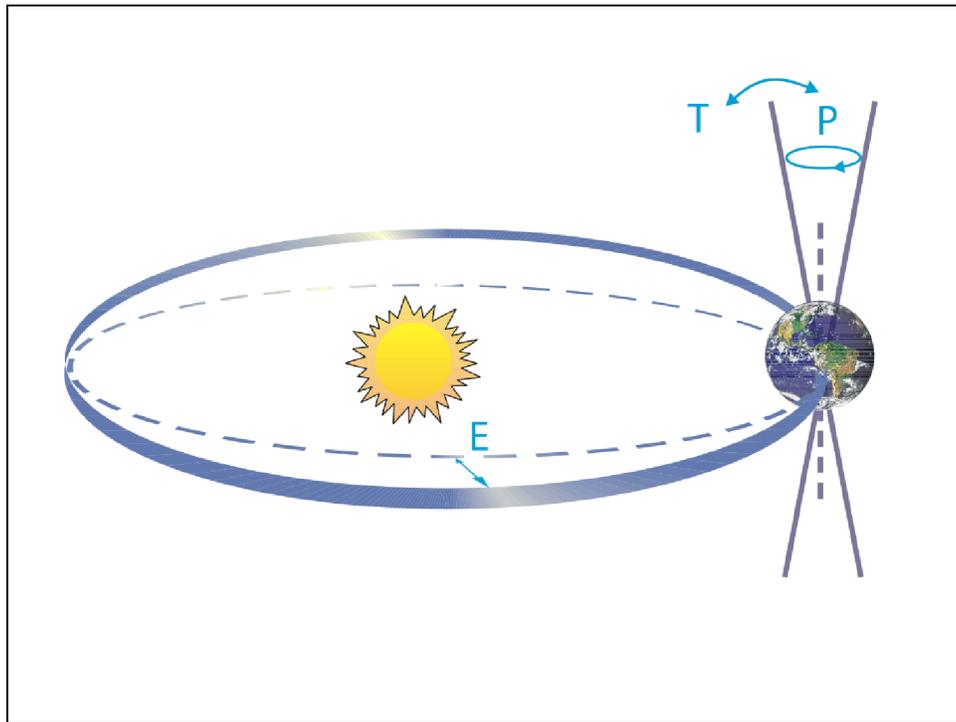
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842

843 **Figure 4.1** Earth's energy budget is a balance between incoming and outgoing
844 radiation. Numbers are in watts per square meter of the Earth's surface, and some
845 estimates may be uncertain by as much as 20%. Incoming shortwave radiation from the
846 sun enters the Earth's atmosphere and may be reflected by clouds, or absorbed or
847 reflected as longwave radiation by the Earth. The greenhouse effect involves the
848 absorption and reradiation of energy by atmospheric greenhouse gases and particles,
849 resulting in a downward flux of infrared radiation (longwave) from the atmosphere to the
850 surface (back radiation) causing higher surface temperatures. In this figure, Earth is in
851 energy balance with the total rate of energy lost from Earth (107 W/m^2 of reflected
852 sunlight plus 235 W/m^2 of infrared [long-wave] radiation) equal to the 342 W/m^2 of
853 incident sunlight (Kiehl and Trenberth, 1997).

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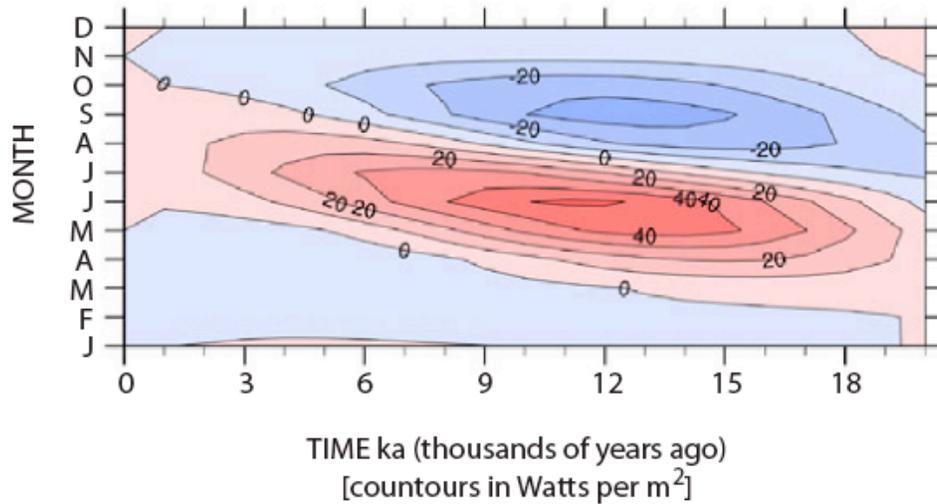
854

855 **Figure 4.2** Schematic of the Earth's orbital variations (Milankovitch cycles) that control
856 the amount of sunlight received (insolation) at a given place on the Earth's surface. 'T'
857 denotes changes in the tilt (or obliquity) of the Earth's axis which has a ~41 ka
858 periodicity, 'E' denotes changes in the eccentricity of the orbit (due to variations in the
859 minor axis of the ellipse) with a ~100 ka periodicity, and 'P' denotes precession, that is,
860 changes in the direction of the axis tilt at a given point of the orbit, which has a ~19 to 23
861 ka periodicity. (Rahmstorf and Schellnhuber ,2006; Jansen et al., 2007)

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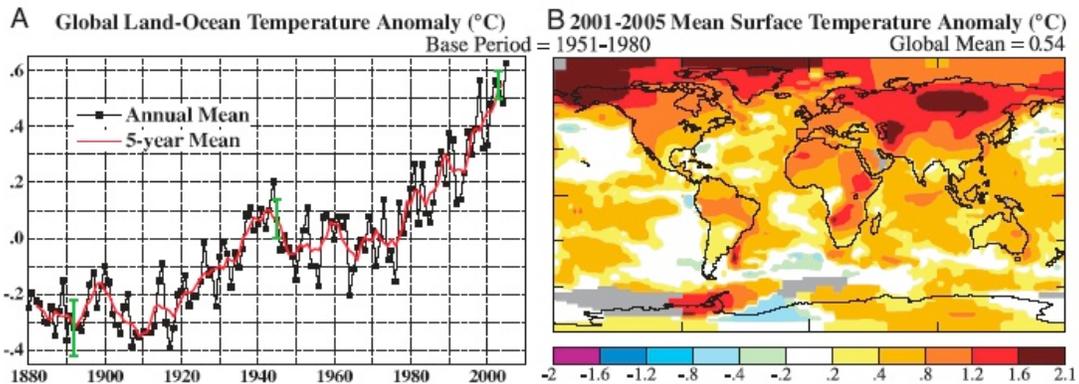
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864 **Figure 4.3.** Milankovitch-driven monthly insolation anomalies (deviations from present)
865 from 21 to 0 ka and spanning the last interglacial and end of the previous glaciation 120
866 to 140 ka ago from 60 deg N. X-axis is time in thousands of years before present and Y
867 axis is calendar months. Contours and numbers reflect anomalies from present in Watts
868 m⁻² (Data from Berger and Loutre, 1992). Midsummer insolation values 11 ka ago
869 exceeded 40 Watts per m² whereas current values are less than 10 Watts per m².
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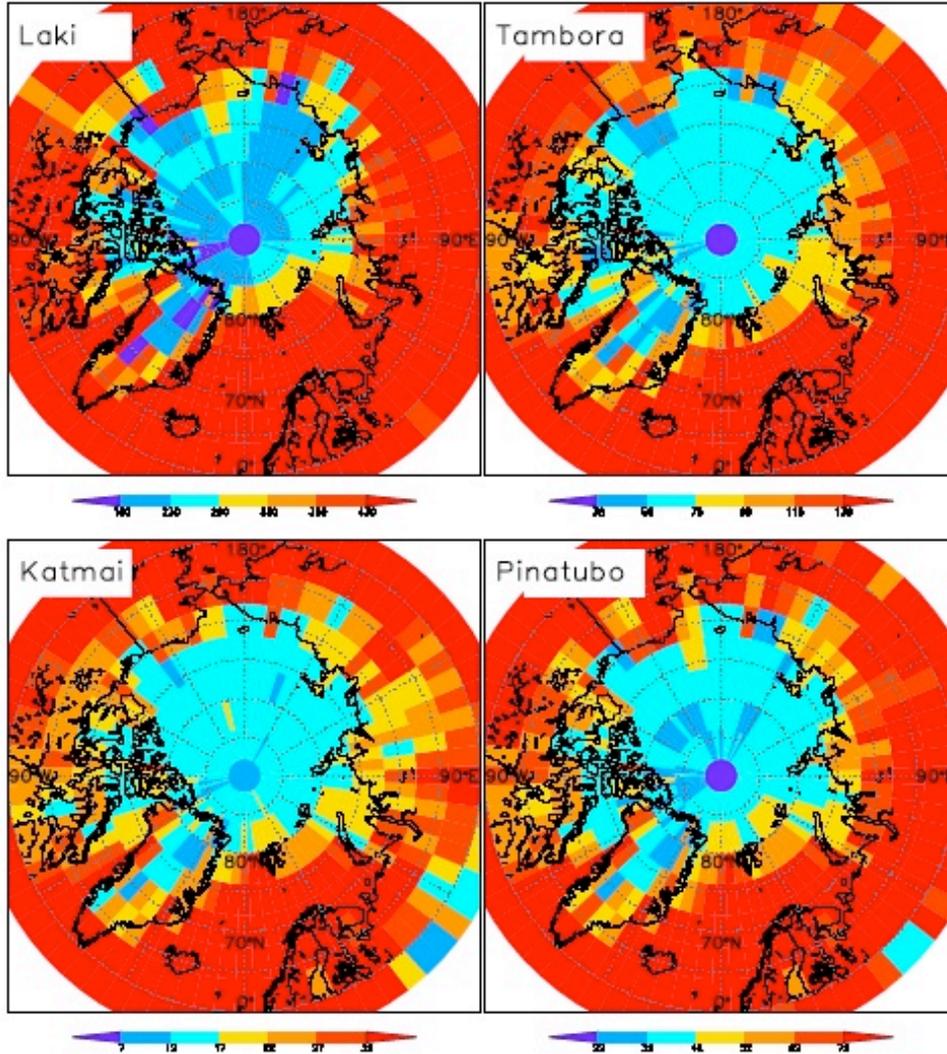
872 **Figure 4.4** Mean surface temperature anomalies for the Earth relative to the period
873 1951-1980. Panel A is the global average. Panel B shows the temperature anomalies for
874 the period from 2000 to 2005. High northern latitudes show the largest anomalies for
875 this time period. (Hansen et al., 2006)

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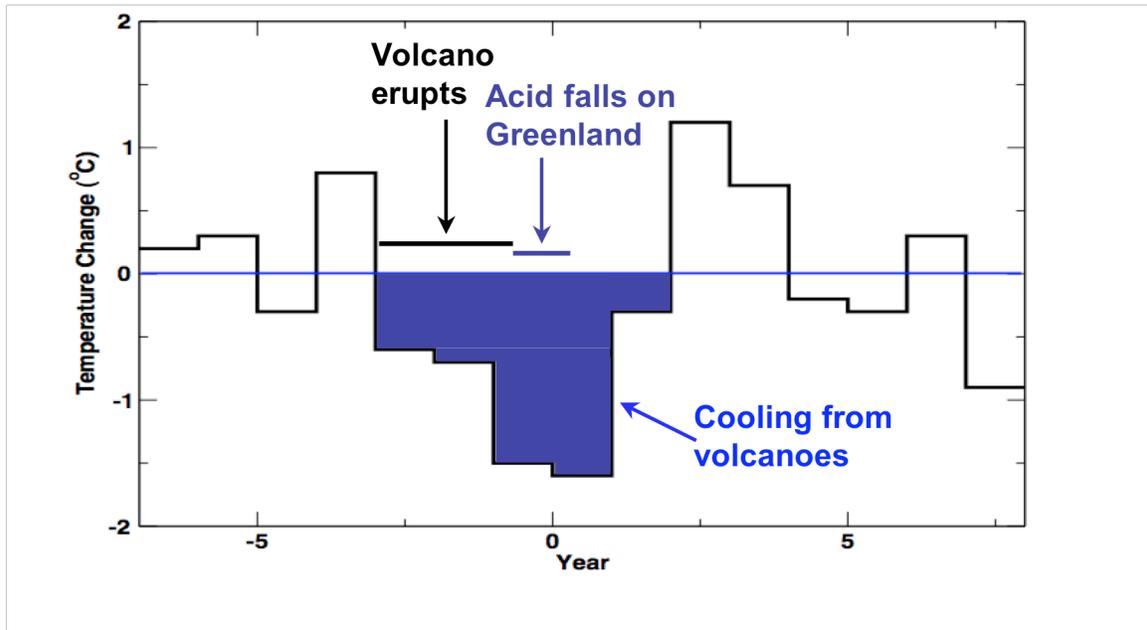
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880 **Figure 4.5** Volcanic evidence derived from 44 ice cores were recently used to simulate
881 the spatial distribution of volcanic sulfate aerosols using the NASA Goddard Institute for
882 Space Studies (GISS) ModelE climate model. Shown here are simulated loadings for the
883 Laki (1783), Katmai (1912), Tambora (1815), and Pinatubo (1991) eruption deposition
884 (kg/km²) in the Arctic region. The colors are defined so blue indicates smaller than average
885 deposition for 66 N-82 N, 50 W- 35 W and yellow, orange, and red indicate larger than
886 average. (from Gao et al., 2007)

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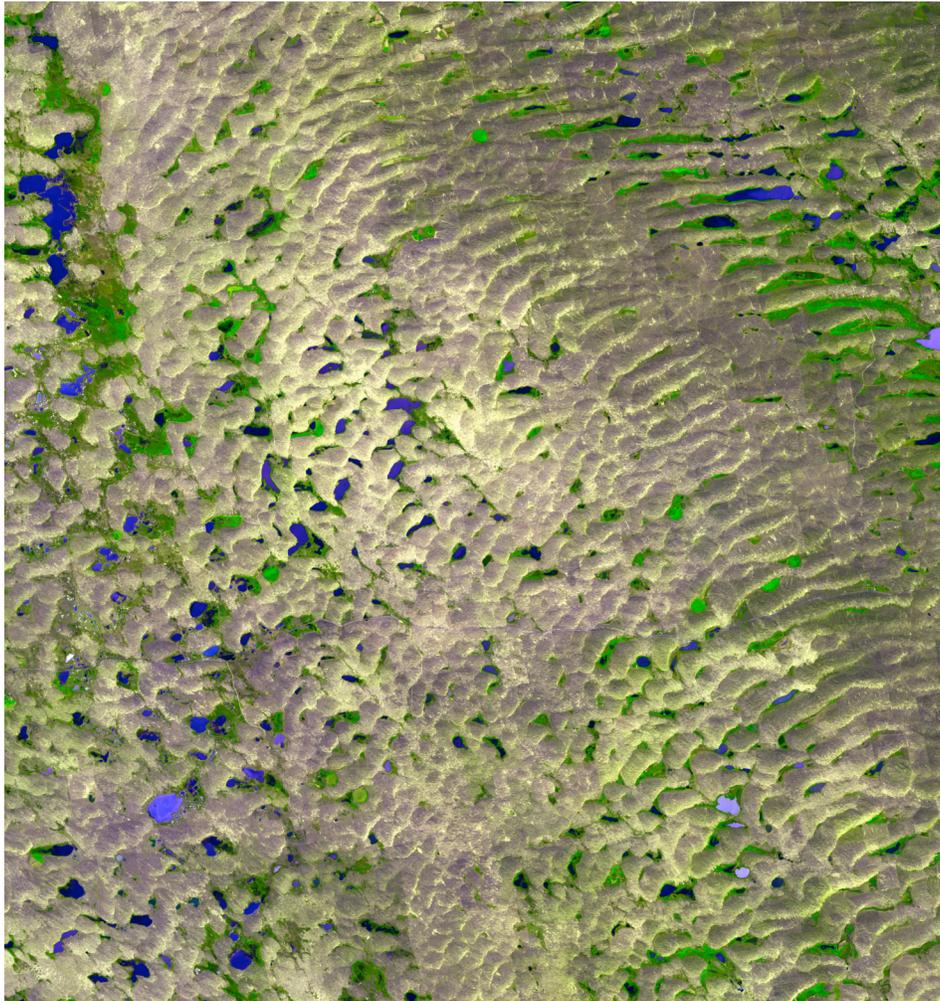
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890 **Figure 4.6** Isotopic record of temperature response in Greenland snow to large volcanic
891 eruptions reconstructed from the GISP2 ice core. (modified from Stuiver et al., 1995).

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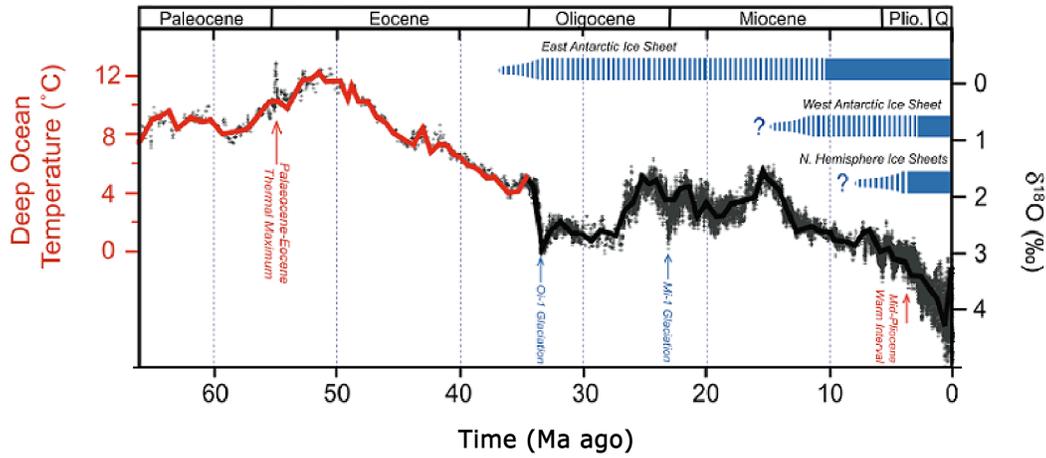


893

894 **Figure 4.7** About a quarter of Nebraska is covered by the Sand Hills. These are
895 Pleistocene sand dunes derived from glacial outwash eroded from the Rocky Mountains,
896 and now stabilized by vegetation. The hills are characterized by crowded barchan
897 (crescent-shaped) dunes, general absence of drainage, and numerous tiny lakes filling the
898 closed depressions between dunes. Covering an area of 51,400 square kilometers, the
899 Sand Hills are the largest sand dune formation in America. This ASTER simulated
900 natural color image was acquired September 10, 2001, covers an area of about 57.9 x
901 61.6 km, and is centered near 42.1 degrees north latitude, 102.2 degrees west longitude.
902 (Photo credit: NASA/GSFC/METI/ERSDAC/JAROS, and U.S./Japan ASTER Science
903 Team)

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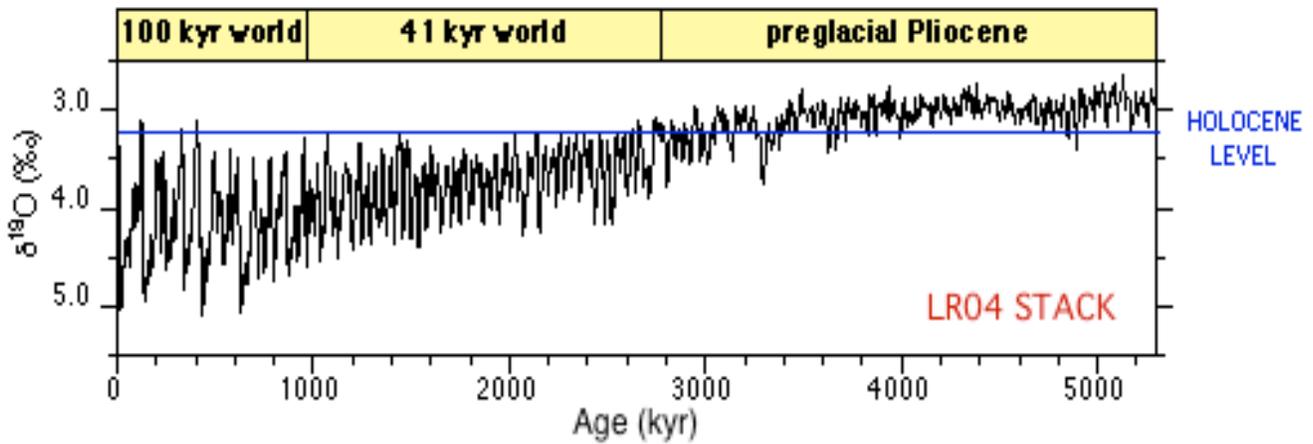
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907 **Figure. 4.8.** Global compilation of over 40 deep sea benthic $\delta^{18}\text{O}$ isotopic records taken
908 from Zachos et al. 2001, updated with high resolution Eocene through Miocene records
909 from Billups et al 2002; Bohaty and Zachos, 2003 and Lear et al., 2004. Dashed blue
910 bars represent times when glaciers came and went or were smaller than now; the solid
911 blue bars are ice sheets of modern size or larger. (Figure and text modified from IPCC
912 Chapter 6 on Paleoclimate, Jansen et al., 2007)

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916 **Figure. 4.9.** Composite stack of 57 different benthic oxygen isotope records from (a
917 proxy for temperature) from a globally distributed network of marine sediment cores.
918 (data from Lisiecki and Raymo, 2005 and associated website). This foraminifera $\delta^{18}\text{O}$
919 record indicates low-magnitude climate changes from 5.3 until about 2.7 Ma ago, when
920 the amplitude of the foraminifera $\delta^{18}\text{O}$ signal increases markedly.

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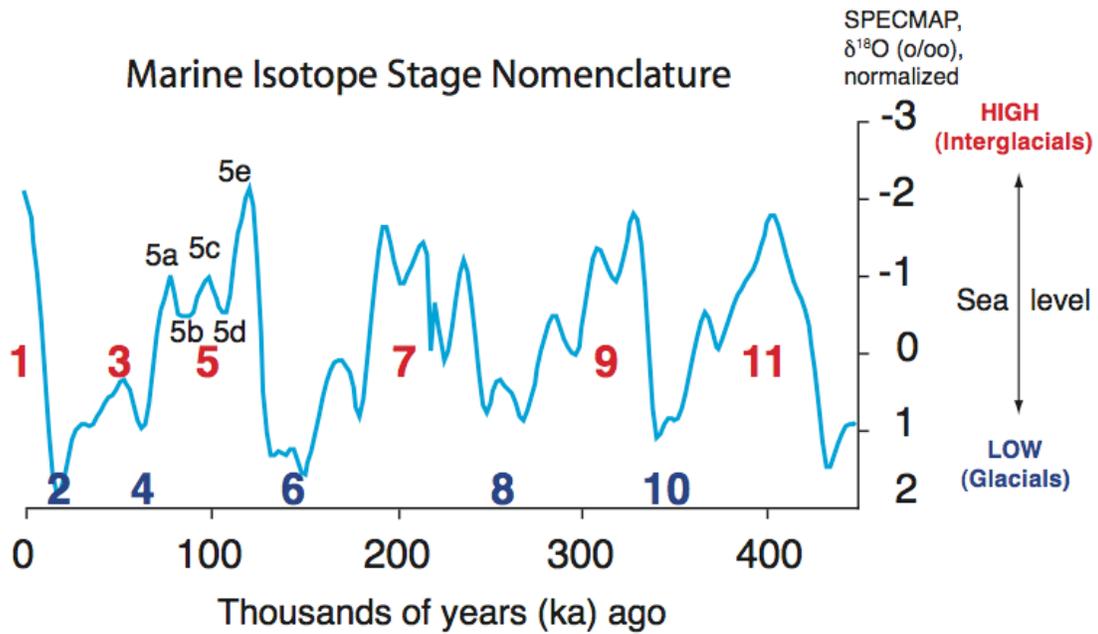
ERATHM / ERA	SYSTEM, SUBSYSTEM PERIOD, SUBPERIOD	SERIES / EPOCH	Age estimate of Boundary	
Cenozoic	Quaternary	Holocene	11,477 yr	
		Pleistocene	2.588 Ma	
	Neogene	Pliocene	5.332 Ma	
		Miocene	23.03 Ma	
		Oligocene	33.9 Ma	
	Tertiary	Paleogene	Eocene	55.8 Ma
			Paleocene	65.5 Ma

924

925 **Figure 4.10.** Chronology used for geological time periods in this report. (modified from
926 Ogg and 2004)

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927



928 **Figure 4.11.** Marine Isotope Stage (MIS) nomenclature and chronology used in this
929 report (after Imbrie et al. (1984) and Martinson et al. (1987)).

930

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